2003 REPORT OF THE ISO TC 46/SC 9 SECRETARIAT

This report covers the activities of ISO TC 46/SC 9 since October 2001 when the previous Secretariat's report (document N 323) was issued.

A briefer summary of the current programme of work appears in Annex 1.

1. NEW CHAIRPERSON FOR TC 46/SC 9

By a letter ballot ending on March 31, 2003, the members of TC 46 approved the nomination of Mr. Brian Green as the Chairperson of SC 9 for a term ending in December 2006. Confirmation of the appointment is given in TC 46 document N 1659. It will be followed by a formal resolution of the TC 46 plenary meeting in May 2003.

On behalf of SC 9's membership, the Secretariat extends a warm welcome to Brian Green as the incoming Chairperson and our thanks and best wishes to Christine Deschamps who retired as Chairperson at the end of 2002 after six years of excellent service in leading and promoting the work of TC 46/SC 9.

2. SCOPE OF ISO TC 46/SC 9

The following scope and title for SC 9 were approved at the October 2001 meeting of TC 46:

TITLE: "ISO TC 46/SC 9 - IDENTIFICATION AND DESCRIPTION"

SCOPE: "Standardization of information identifiers, description and associated metadata and models for use in information organizations (including libraries, museums and archives) and the content industries (including publishing and other content producers and providers)."

Several published standards, mostly in the area of document presentation, were removed from the scope of SC 9 during the TC 46 restructuring process. Those standards are listed in Section 3 of Annex 1. Until other arrangements are made, the SC 9 Secretariat will continue to administer the
systematic reviews of those standards. However, if the reviews indicate that revision is required for any of those standards, the work would have to be assigned to a new SC or Working Group under TC 46.

3. **MEMBERSHIP AND LIAISONS**

3.1 **Participating countries (25):**

Australia (SAI); Bulgaria (BDS); Canada (SCC); China (SAC); Colombia (ICONTEC); Czech Republic (CSNI); Denmark (DS); Finland (SFS); France (AFNOR); Germany (DIN); Hungary (MSZT); Ireland (NSAI); Italy (UNI); Japan (JISC); Kenya (KEBS); Netherlands (NEN); Norway (NSF); Poland (PKN); Portugal (IPQ); Russian Federation (GOST R); South Africa (SABS); Spain (AENOR); Sweden (SIS); U.S.A. (ANSI); United Kingdom (BSI).

3.2 **Observer countries (16):**

Argentina (IRAM); Austria (ON); Belgium (IBN); Cuba (NC); Estonia (EVS); Iceland (IST); India (BIS); Islamic Republic of Iran (ISIRI); Republic of Korea (KATS); Lithuania (LST); Mexico (DGN); Mongolia (MASM); Romania (ASRO); Slovakia (SUTN); Slovenia (SIST); Thailand (TISI).

3.3 **Organizations with A-liaison status**

— Association for the International Collective Management of Audiovisual Works (AGICOA);
— International Confederation of Societies of Authors and Composers (CISAC);
— European Association of Science Editors (EASE);
— European Commission \(\text{[requires verification]}\);
— Fachinformationszentrum (FIZ) Karlsruhe;
— Federation of European Film Directors (FERA);
— EAN International;
— International Association of Music Libraries, Archives and Documentation Centres (IAML);
— International Committee for Social Sciences Information and Documentation \(\text{[requires verification]}\);
— International Documentation Committee (CIDOC) of the International Council of Museums;
— International DOI Foundation;
— International Federation of Film Producers Associations (FIAPF);
— International Federation of Library Associations and Institutions (IFLA);
— International Federation of Science Editors \(\text{[requires verification]}\);
— International Federation of the Phonographic Industry (IFPI);
— International ISBN and ISMN Agency;
— International Publishers Association;
— International Video Federation;
— ISSN International Centre;
— Society of Motion Picture and Television Engineers (SMPTE);
3.4 Organizations with B-liaison status

— International Council on Archives \textit{[requires verification]};
— International Nuclear Information System (INIS) of the International Atomic Energy Agency (IAEA) \textit{[requires verification]}.

3.5 Category D liaisons for specific SC 9 projects

The Secretariat is requesting SC 9’s approval to establish Category D liaisons with the TV-Anytime forum for Project 20925 (V-ISAN) and with the International Booksellers Federation for Project 2108 (ISBN).

TV-Anytime is an association of organizations developing specifications for audiovisual services across consumer platforms. Among its many projects, TV-Anytime is developing a Content Referencing Specification (called CRID) which is of interest to the V-ISAN Working Group (SC 9 WG 1). The TV-Anytime Web site is at: <http://www.tv-anytime.org>.

The International Booksellers Federation is an international, non-governmental organization of booksellers associations and booksellers from around the world, established in 1955. The IBF has an obvious interest in changes to the International Standard Book Number (ISBN). The IBF Web site is at: <http://www.ibf-booksellers.org>.

3.6 Updating contact information

The TC 46/SC 9 distribution list is given in document N 357. Members and liaison organizations are requested to verify their contact information in that list and notify the TC 46/SC 9 Secretariat if changes are required (via an e-mail message to: iso.tc46.sc9@nlc-bnc.ca).

It is particularly important to ensure that the SC 9 Secretariat has the correct e-mail address for your organization.

4. CURRENT PROGRAMME OF WORK

The status of each project in SC 9’s programme of work is summarized in Annex 1.


Project 2108 was registered in the programme of work in October 2001. One of the main objectives of this project is to increase the numbering capacity of the ISBN system. The project was assigned to a new Working Group (WG 4) under the leadership of Mr. Michael Healy of Nielsen BookData Ltd. (U.K.). WG 4 met several times throughout 2002 to draft the revised ISBN standard.
Subcommittee voting on Committee Draft 2108 ended on May 5, 2003. CD 2108 was approved, with comments, for advancement to the Enquiry stage by 16 ISO member bodies. There were 0 negative votes and 2 abstentions. The voting results and comments on CD 2108 appear in SC 9 document N 352, revised.

WG 4 will review the comments on CD 2108 at its May 2003 meeting and agree on the appropriate actions to take in response.

The Convenor and representatives of WG 4 will attend the SC 9 meeting on May 21 to present the WG's responses to major substantive comments on CD 2108. SC 9 will consider the voting results and WG 4's proposed disposition of comments in deciding whether to approve a resolution that CD 2108 should be advanced to the Enquiry stage for voting as an ISO Draft International Standard.


4.2 ISO 3901, Information and documentation -- International Standard Recording Code (ISRC)

The second edition of the ISRC standard was published in October 2001. The Registration Authority for ISO 3901 is IFPI (International Federation of the Phonographic Industry).

IFPI has undertaken several new initiatives for coordination and promotion of the ISRC system. Summit meetings of the national ISRC agencies were held in 2001 and 2002. Two discussion lists were set up to improve communication among the ISRC agencies and user groups. A system-wide annual reporting process was developed for the ISRC system, and formal procedures for the appointment of new ISRC agencies were recently established. IFPI's report as the RA for ISO 3901 is given in SC 9 document N 353.

IFPI and the ISRC agencies are considering two possible additions to ISO 3901 dealing with metadata and a check digit function for the ISRC. Philippa Morrell of the IFPI Secretariat will provide more information on these topics at the May 2003 meeting. Background information is given in SC 9 documents N 354 and N 355.

Further information on the ISRC system is available on IFPI's Web site at: <http://www.ifpi.org>.

4.3 ISO 15706, Information and documentation -- International Standard Audiovisual Number (ISAN)

The ISAN standard was published in November 2002, after being approved by 100% of the voting ISO members.

The Registration Authority for ISO 15706 will be the ISAN International Agency (ISAN-IA). ISAN-IA was officially incorporated as a Swiss association in December 2002 by its 3 founding members: the Association of International Collective Management of Audiovisual Works (AGICOA); the International Federation of Film Producers Associations (FIAPF); and the International Confederation
of Societies of Authors and Composers (CISAC). Each of these three international organizations was involved in the administration of the ISAN project. Their RA proposal was distributed for ISO approval in SC 9 document N 341, in conjunction with the final vote on the ISAN standard.

A network of ISAN registration agencies should be appointed by ISAN-IA later this year. National organizations in several countries have already expressed interest in becoming ISAN agencies. Once the registration agencies are established, the ISAN will be ready for implementation within the audiovisual community.

Information about the ISAN standard is available on the SC 9 Web site at: <http://www.nlc-bnc.ca/iso/tc46sc9/standard/15706e.htm>. ISAN-IA will be establishing its own Web site for the ISAN system later this year.

4.4 ISO 15707, Information and documentation -- International Standard Musical Work Code (ISWC)

ISO 15707 was published on November 15, 2001. The International Confederation of Societies of Authors and Composers (CISAC) was appointed as the Registration Authority for ISO 15707.

To date, the following 15 organizations have been officially appointed as ISWC agencies: APRA (Australia); BUMA (Netherlands); CCL (Trinidad and Tobago); IMRO (Ireland); KODA (Denmark); MCPS-PRS (U.K.); MIS@ASIA (Asia); SACEM (France); SGAE (Spain); SIAE (Italy); SONGCODE (North America); STIM (Sweden); SUISA (Switzerland); TEOSTO (Finland); and TONO (Norway). Additional ISWC agencies are being discussed for: Austria; Brazil; China and Hong Kong; Estonia; Georgia; Germany; Israel; Japan; and South Africa.

Further information on the ISWC system is available on CISAC's Web site for the ISWC International Agency at: <http://www.iswc.org>.

4.5 WD 20925, Identifier for versions of audiovisual works (V-ISAN)

The V-ISAN will be a supplementary version identifier for the ISAN. The proposal arose out of work that was being done by the Society of Motion Picture and Television Engineers (SMPTE) on a universal program identifier for broadcasting applications.

Project 20925 was assigned to WG 1 which is also responsible for the ISAN standard (ISO 15706). WG 1 has agreed on the format specification for the V-ISAN identifier and is now working on technical issues relating to the registration and assignment of V-ISAN. WG 1 will meet in Toronto, Canada on May 29-30, 2003 to further develop procedural aspects of the V-ISAN system. The WG is also exploring the application of V-ISAN in relation to TV-Anytime's Content Referencing specification. SC 9 approval is requested to establish a Category D liaison between Project 20925 and the TV-Anytime forum.
4.5 CD 21047, Information and documentation -- International Standard Text Code (ISTC)

Committee Draft 21047 was approved, with extensive comments, in a Subcommittee vote which ended on January 30, 2002. Responses to comments on CD 21047 were agreed by the ISTC Working Group (WG 3) at a meeting in April 2002. The disposition of comments on CD 21047 is shown in SC 9 document N 339.

Because the ISTC standard involves a registration function, further progress of this standard is stalled until a Registration Authority proposal is available for distribution at the Approval stage. The criteria for appointment of the ISTC Registration Authority are outlined in TC 46/SC 9 document N 308. WG 3 was asked to nominate a suitable organization based on those criteria.

Until recently, the agreed plan was that a "consortium" (comprised of OCLC Inc., the R.R. Bowker Company, Whitaker Information Services, and CISAC) would develop a joint proposal for the ISTC Registration Authority which would be submitted to SC 9/WG 3 for review. WG 3 would then agree on its collective recommendation to SC 9 concerning the proposed RA. This process was adopted on the assumption that the consortium approach would result in a stronger RA proposal and a solid business plan for the ISTC system. Unfortunately, almost a year later, it appears that the consortium approach has not worked out.

Therefore, the Secretariat is recommending that an open Request for Candidates for the ISTC Registration Authority should be issued by SC 9, based on the established ISO and TC 46 directives for Registration Authorities and the criteria outlined in SC 9 N 308.

At the May 2003 meeting, SC 9 will be asked to advise on the process for selecting the organization that should be nominated for ISO's approval as the future RA for the ISO 21047.

Background information about the ISTC project is available on the Web site at: <http://www.nlc-bnc.ca/iso/tc46sc9/21047.htm>.

4.7 Technical Report 21449, Content Delivery and Rights Management: Functional requirements for identifiers and descriptors for use in the music, film, video, sound recording and publishing industries

"Content Delivery and Rights Management: Functional Requirements for Identifiers and Descriptors" (called "CDRM" for short) was prepared by Dr. Tom Delsey for several organizations involved in the administration of identifier systems and projects within ISO TC 46/SC 9. Those organizations formed a Joint Initiative in January 2001 to study issues relating to the use and interoperability of their respective identifier systems. The Joint Initiative disbanded in the first quarter of 2002 when some of the participating organizations withdrew in order to focus on their separate interests within the MPEG-21 project.

The outcome of that Joint Initiative was Tom Delsey's detailed analysis of the requirements for identifiers and descriptors in three key business functions of the content industries: production, distribution, and the management and protection of intellectual property rights.
In order to make the CDRM study available to a wider audience, the Secretariat requested approval to publish it as an ISO Technical Report (i.e. as an informative document published by ISO for reference purposes only). DTR 21449 was approved for publication by SC 9's member bodies by a letter ballot in October 2001. The English text (80 pages) has been translated into French by AFNOR which is to be commended for taking on such a formidable translation effort. Publication of the English and French editions has been delayed, however, because of extensive proofreading that became necessary when the ISO template was applied to the document. In the meantime, a PDF version of TR 21449 is available to ISO members in a password-protected area of the SC 9 Web site.

5. PUBLISHED STANDARDS

At present there are 15 published ISO standards included in the scope of TC 46/SC 9. A list of those standards with the scheduled dates of their next systematic reviews appears in Annex 1.

5.1 Possible withdrawal of ISO 10444:1994, Information and documentation - International standard technical report number (ISRN)

The Registration Authority for ISO 10444 is the Fachinformationszentrum Karlsruhe (FIZ) in Germany. It has recently recommended the withdrawal of the ISRN standard.

FIZ reports that the ISRN system has not found much acceptance within the scientific and technical report community and states that ISO 10444 can not be properly implemented without revised organization and administration of the registration procedures for the ISRN.

In light of this development, the Secretariat suggests an immediate review of ISO 10444 to determine whether P-members agree that the ISRN standard should be withdrawn. This will be discussed at the May 2003 meeting as an addition to the agenda. Otherwise, the next scheduled review of ISO 10444 would not begin until the November 2004 systematic review.

6. SYSTEMATIC REVIEW OF EXISTING STANDARDS

The standards listed below are currently undergoing their scheduled five-year review. P-members are required to vote on whether these standards should be confirmed, revised or withdrawn. Votes must be submitted to the SC 9 Secretariat by June 30, 2003. Copies of the voting forms are available on the SC 9 Web site at: <http://www.nlc-bnc.ca/iso/tc46sc9/review.htm>.

6.1 Systematic review of ISO 4:1997, Information and documentation -- Rules for the abbreviation of title words and titles of publications

Members should note that SC 9 is no longer working in the subject area of ISO 4, except where it directly relates to support of the ISSN system.
The Registration Authority for ISO 4 is the ISSN International Centre in Paris. Françoise Pellé and Alain Roucolle of the ISSN International Centre will attend the May 2003 meeting to present the Registration Authority's request to confirm ISO 4 for another five-year period.


Members are requested to note that ISO 214 is no longer included in the scope of ISO TC 46/SC 9. Until other arrangements are made, the SC 9 Secretariat is administering the systematic review of this standard. However, if the review indicates that ISO 214 should be revised, then a new SC or WG under TC 46 would have to be established to undertake that work.


BSI has submitted a preliminary proposal to amalgamate ISO 690-2:1997 (on bibliographic references to electronic documents) with ISO 690:1987 (general rules for the content, form and structure of bibliographic references). BSI's draft showing the proposed content of ISO 690 as a single standard is available in SC 9 document N 358.

One factor that should be considered in deciding whether to amalgamate ISO 690 and ISO 690-2 is ISO's current policy of pricing its standards according to the number of pages. Members are reminded that in May 2000 TC 46 asked ISO Council to vote on a proposal that ISO 690:1987 and ISO 690:1997 should be made available on the Web free of charge. That proposal was refused by ISO Council with 8 votes against, 6 votes in favour and 4 votes not cast. Virtually all of the negative ballots referred to the potential loss of sales revenue. The SC 9 Secretariat regrets that decision by ISO Council.

It is hoped that ISO will reconsider its pricing policy for standards. Otherwise, there is some concern that the price of ISO 690 would be an obstacle to its widespread promotion and use, given that the intended market for this standard consists mainly of individual editors, researchers, and documentalists.


The Registration Authority for ISO 3297 is the ISSN International Centre. Françoise Pellé and Alain Roucolle of the ISSN International Centre will attend the May 2003 meeting to present the International Centre's request that ISO 3297 should be revised. The ISSN International Centre is also offering to supply the project leadership for the revision of ISO 3297.
6.5 Systematic review of ISO 10324:1997, Information and documentation -- Holdings statements -- Summary level

ISO 10324, first edition, was developed under the leadership of Mrs. Sally McCallum of the U.S. Library of Congress. It is equivalent to the U.S. national standard ANSI/NISO Z39.71-1999, "Holdings Statements for Bibliographic Items".


7. STATUS OF THE MPEG-21 "MULTIMEDIA FRAMEWORK" PROJECT

The MPEG-21 project within ISO/IEC JTC 1/SC 29/WG 11 is developing a standards infrastructure for delivery and consumption of multimedia content in the networked information environment.

MPEG-21 is divided into several project streams. The project stream that is of most interest to TC 46/SC 9 is Digital Item Identification (DII). The DII standard was published on April 1, 2003 as ISO/IEC 21000-3:2003, "Information technology -- Multimedia framework (MPEG-21) -- Part 3: Digital Item Identification". ISO/IEC 21000-3 specifies that Digital Items and their components (including media resources) are identified by using Uniform Resource Identifiers (URI).

In July 2002, JTC 1/SC 29 issued a Request for Candidates for the Registration Authority on Digital Item Identification. The primary function of the RA will be to register identification schemes as URN-conformant identifiers for use within the MPEG-21 Multimedia Framework. Responses to the Request for Candidates were received from CISAC, VeriSign, the National Computerization Agency (Republic of Korea); and the Society of Motion Picture and Television Engineers (SMPTE). MPEG convened an ad hoc group at its March 2003 meeting in Pattaya, Thailand to review the candidates' responses and make a recommendation to JTC 1 concerning the RA for the DII standard.

In terms of TC 46/SC 9's liaison with JTC 1/SC 29/WG 11, it is difficult to influence the MPEG-21 project group without actively participating in their meetings. Earlier arrangements for liaison with MPEG-21 were entirely dependent on the former Joint Initiative which hired Tom Delsey as their consultant to analyze and prepare responses on specific aspects of the MPEG-21 project.

At present, the TC 46/SC 9 Secretariat is only monitoring the status of the MPEG-21 project on Digital Item Identification. Suggestions and offers of assistance for more active liaison efforts would be welcome from the membership. Members are also urged to represent the interests of TC 46/SC 9 at the national level in MPEG-21 project groups.

Information about the MPEG-21 project can be found on the MPEG Web site at: <http://www.cselt.it/mpeg>. Some of the MPEG-21 documents are being tracked by the Secretariat in a "Monitoring MPEG-21" section of the TC 46/SC 9 Web site.
8. **GRid (GLOBAL RELEASE IDENTIFIER)**

In addition to administering the ISRC system, IFPI has also been developing a new product identifier for electronically distributed music. The Global Release Identifier, or GRid, identifies each product release by the recording industry as a distinct unit of trade in the digital environment. Releases may be single tracks, an album or multi-media packages. Each new release of intellectual property assets, whether separate or bundled together, will be assigned its own unique GRid.

Whereas the ISRC identifies individual sound and music video recordings, a GRid identifies the product or release of which those recordings form a part. In this respect the GRid also complements and promotes the use of ISRC because, in order to allocate a GRid to a release, ISRCs must also be assigned to all of the sound recordings and music video recordings within that release.

Philippa Morrell of IFPI will attend the May 2003 meeting to provide additional information about the GRid system. A set of Frequently Asked Questions about the GRid is also available on the IFPI Web site at: <http://www.ifpi.org/grid/grid-faq.html>.

9. **ISO TC 46/SC 9 WEB SITE**

All TC 46/SC 9 documents are available online in the document register on the Web site at: <http://www.nlc-bnc.ca/iso/tc46sc9/registr.htm>. A password is required to access certain documents that are intended only for active participants in the work of TC 46/SC 9.
SUMMARY OF THE WORK ITEMS ALLOCATED TO ISO TC 46/SC 9
(as of May 2003)

### 1. WORK IN PROGRESS

**FDIS = Final Draft International Standard**
**DIS = Draft International Standard**
**CD = Committee Draft**
**WD = Working Draft**
**TR = Technical Report**

<table>
<thead>
<tr>
<th>Reference No. (+ date project registered as a Work Item)</th>
<th>Title</th>
<th>Status</th>
<th>WG Convenor or Project leader</th>
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<tr>
<td>ISO 15706 (1997-02)</td>
<td>International Standard Audiovisual Number (ISAN)</td>
<td>PROJECT COMPLETED ISO 15706 was published on 2002-11-15.</td>
<td>ISAN-IA approved as the Registration Authority.</td>
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<tr>
<td>WD 20925 (2001-04)</td>
<td>Identifier for versions of audiovisual works (V-ISAN)</td>
<td>Working Draft under development in WG 1. Format specification for the V-ISAN identifier may also be issued as a PAS.</td>
<td>Project assigned to WG 1</td>
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<tr>
<td>CD 21047 (2000-07)</td>
<td>International Standard Text Code (ISTC)</td>
<td>CD approved but DIS registration stalled pending nomination of a RA for the ISTC system. A Request for Proposals concerning the future RA will be developed.</td>
<td>Albert Simmonds (U.S.A.)</td>
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</table>
2. PUBLISHED STANDARDS – IDENTIFICATION AND DESCRIPTION
(within the scope of ISO TC 46/SC 9)

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<th>Reference number</th>
<th>Year and edition</th>
<th>Title</th>
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<tr>
<td>ISO 690</td>
<td>1987 (2nd)</td>
<td>Bibliographic references -- Content, form and structure</td>
<td>2002 review to be rescheduled.</td>
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<td>ISO 832</td>
<td>1994 (2nd)</td>
<td>Bibliographic description and references -- Rules for abbreviation of bibliographic terms</td>
<td>Begins Nov. 2004</td>
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<tr>
<td>ISO 999</td>
<td>1996 (2nd)</td>
<td>Guidelines for the content, organization and presentation of indexes</td>
<td>Begins Nov. 2006</td>
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<td>ISO 2788</td>
<td>1986 (2nd)</td>
<td>Guidelines for the establishment and development of monolingual thesauri</td>
<td>Begins Nov. 2006</td>
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<td>ISO 5963</td>
<td>1985 (1st)</td>
<td>Methods for examining documents, determining their subjects, and selecting indexing terms</td>
<td>Begins Nov. 2006</td>
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<tr>
<td>ISO 5964</td>
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<td>Guidelines for the establishment and development of multilingual thesauri</td>
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<td>ISO 10444</td>
<td>1994 (1st)</td>
<td>International standard technical report number (ISRN)</td>
<td>Begins Nov. 2004</td>
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<td>ISO 10957</td>
<td>1993 (1st)</td>
<td>International standard music number (ISMN)</td>
<td>Begins Nov. 2003</td>
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</table>
3. PUBLISHED STANDARDS IN COMPLETED AREAS OF WORK

NOTE - The following standards have been removed from the scope of ISO TC 46/SC 9. Until other arrangements are made, the SC 9 Secretariat will continue to administer the systematic reviews of these standards. However, if the reviews indicate that revision is required, then a new SC or WG under TC 46 would have to be established to undertake such projects.

<table>
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<th>Year and edition</th>
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<td>Presentation of periodicals</td>
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<td>ISO 18</td>
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<td>Contents list of periodicals</td>
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<td>ISO 215</td>
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<td>Presentation of contributions to periodicals and other serials</td>
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<td>Presentation of translations</td>
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<td>ISO 5122</td>
<td>1979 (1st)</td>
<td>Abstract sheets in serial publications</td>
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<td>Headers for microfiche of monographs and serials</td>
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<td>ISO 6357</td>
<td>1985 (1st)</td>
<td>Spine titles on books and other publications</td>
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<td>ISO 7144</td>
<td>1986 (1st)</td>
<td>Presentation of theses and similar documents</td>
<td>Begins Nov. 2006</td>
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<td>ISO 7154</td>
<td>1983 (1st)</td>
<td>Bibliographic filing principles</td>
<td>Begins Nov. 2003</td>
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<td>ISO 7220</td>
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<td>Presentation of catalogues of standards</td>
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<td>ISO 7275</td>
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<td>Presentation of title information of series</td>
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